



0135

2100

G P 2171 #8 B1

9-13-02

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen R. Bacso, Group Art Unit: 2171
Rene J. Juneau,
Sanjeev Shankar, and
Bruce D. Scanlan
Serial No.: 09/991,563 Examiner: Unknown
Filed: November 20, 2001 Docket No.: 1028-009US01
Title: METHOD AND SYSTEM FOR DATAFLOW MANAGEMENT IN A
COMMUNICATIONS NETWORK

RECEIVED
MAY 13 2002
Technology Center 2100

CERTIFICATE UNDER 37 CFR 1.8: I hereby certify that this correspondence is being deposited with the United States Post Service, as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on May 6, 2002.

May 6

By: *Samantha J. Rupert*
Name: Samantha J. Rupert

RECEIVED
SEP 06 2002
TECHNICAL SERVICES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicant submits the reference listed on the attached form PTO-1449, a copy of which is enclosed.

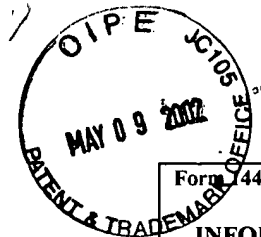
This statement is being filed before the receipt of a first Office Action on the merits.

Respectfully submitted,

Date: May 6, 2002

Kent J. Sieffert
By: Kent J. Sieffert
Reg. No. 41,312

Shumaker & Sieffert, P.A.
8425 Seasons Parkway, Suite 105
St. Paul, Minnesota 55125
Phone: (651) 735-1100
Fax: (651) 735-1102



Form 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 1028-009US01	Application Number: 09/991,563
	Applicant: Stephen R. Bacso, Rene J. Juneau, Sanjeev Shankar and Bruce D. Scanlan	
	Filing Date: November 20, 2001	Group Art Unit: 2171
	Examiner Name:	

RECEIVED

MAY 13 2002

Technology Center 2100

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Issue/Document Publication Date	Name	Filing Date If Appropriate
	4,602,279	07/22/1986	Freeman	
	5,155,591	10/13/1992	Wachob	
	5,231,494	07/27/1993	Wachob	
	5,260,778	11/09/1993	Kauffman et al.	
	5,319,455	06/07/1994	Hoarty et al.	
	5,412,416	05/02/1995	Nemirofsky	
	5,446,919	08/29/1995	Wilkins	
	5,515,098	05/07/1996	Carles	
	5,515,424	05/07/1996	Kenney	
	5,532,735	07/02/1996	Blahut et al.	
	5,565,909	10/15/1996	Thibadeau et al.	
	5,604,542	02/18/1997	Dedrick	
	5,636,346	06/03/1997	Saxe	
	5,661,516	08/26/1997	Carles	
	5,717,923	02/10/1998	Dedrick	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Translation	
				Yes	No
	2,024,868	04/24/1991	Canada		
	2,043,911	12/27/1991	Canada		
	2,052,477	03/29/1992	Canada		
	2,135,414	06/14/1995	Canada		
	2,145,565	10/30/1995	Canada		

OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Publication)

EXAMINER	Date Considered
-----------------	------------------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820
(Also form PTO-1449)

Patent and Trademark Office, U.S. Department of Commerce



Form 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 1028-009US01	Application Number: 09/991,563
	Applicant: Stephen R. Bacso, Rene J. Juneau, Sanjeev Shankar and Bruce D. Scanlan	
	Filing Date: November 20, 2001	Group Art Unit: 2171
	Examiner Name:	

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Issue/Document Publication Date	Name	Filing Date If Appropriate
	5,724,521	03/03/1998	Dedrick	
	5,724,525	03/03/1998	Beyers, II et al.	
	5,761,601	06/02/1998	Nemirofsky et al.	
	5,761,662	06/02/1998	Dasan	
	5,774,170	06/30/1998	Hite et al.	
	5,774,664	06/30/1998	Hidary et al.	
	5,778,181	07/07/1998	Hidary et al.	
	5,848,396	12/08/1998	Gerace	
	5,861,881	01/19/1999	Freeman et al.	
	5,933,811	08/03/1999	Angles et al.	
	5,978,799	11/02/1999	Hirsch	
	5,982,445	11/09/1999	Eyer et al.	
	5,983,069	11/09/1999	Cho et al.	
	5,987,518	11/16/1999	Gotwald	
	5,991,735	11/23/1999	Gerace	

RECEIVED
MAY 13 2002
Technology Center 2100

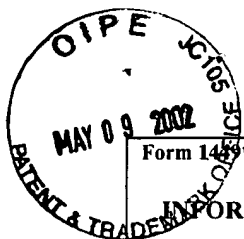
FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Translation	
				Yes	No
	2,155,537	09/01/1994	Canada		
	2,199,177	03/14/1996	Canada		
	2,242,160	01/09/1999	Canada		
	2,250,450	04/16/1999	Canada		
	2,252,568	11/06/1997	Canada		

OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Publication)

EXAMINER	Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 1028-009US01	Application Number: 09/991,563
	Applicant: Stephen R. Bacso, Rene J. Juneau, Sanjeev Shankar and Bruce D. Scanlan	
	Filing Date: November 20, 2001	Group Art Unit: 2171
	Examiner Name:	

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Issue/Document Publication Date	Name	Filing Date If Appropriate
	5,995,092	11/30/1999	Yuen et al.	
	6,002,393	12/14/1999	Hite et al.	
	6,009,410	12/28/1999	LeMole et al.	
	6,018,764	01/25/2000	Field et al.	
	6,018,768	01/25/2000	Ullman et al.	
	6,029,045	02/22/2000	Picco et al.	
	6,057,872	05/02/2000	Candelore	
	6,075,551	06/13/2000	Berezowski et al.	
	6,075,971	06/13/2000	Williams et al.	

RECEIVED
MAY 13 2002
Technology Center 2100

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Translation	
				Yes	No
	WO 00/14951	03/16/2000	PCT		
	WO 00/33160	06/08/2000	PCT		
	WO 00/33163	06/08/2000	PCT		
	WO 00/33228	06/08/2000	PCT		

OTHER DOCUMENTS (Including Authors, Title of Item, Page(s), Vol/Issue No., Publisher, Place of Publication)

EXAMINER	Date Considered
-----------------	------------------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820
(Also form PTO-1449)

Patent and Trademark Office, U.S. Department of Commerce